Evidence for Direct CP Violation from Dalitz-plot analysis of $B^\pm \rightarrow K^\pm \pi^\mp \pi^\pm$ 

We report a Dalitz-plot analysis of the charmless hadronic decays of charged \( B \) mesons to the final state \( K^\pm \pi^\mp \pi^\mp \). Using a sample of 383.2 \( \pm4.2 \) million \( B\bar{B} \) pairs collected by the \( B\bar{B} \) detector, we measure \( CP \)-averaged branching fractions and direct \( CP \) asymmetries for intermediate resonant and nonresonant contributions. We find evidence for direct \( CP \) violation in the decay \( B^\pm \to \rho^0(770)K^\mp \), with a \( CP \) violation parameter \( A_{CP} = (+4 \pm 10 \pm 4_{+5}^{-13})\% \).

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*Deceased
†Now at Temple University, Philadelphia, Pennsylvania 19122,
I. INTRODUCTION

The Kobayashi-Maskawa mechanism of CP nonconservation [1] has, in recent years, been confirmed through observations of direct CP violation in the kaon system [2, 3] and of both mixing-induced [4, 5] and direct [6] CP violation in the B meson system. However, it is striking that despite an enormous experimental effort [7, 8], no observation of CP violation in the decay of any charged particle has yet been made. In some cases, for example in $B^\pm \rightarrow \pi^0 K^\pm$ [9, 10], the absence of an asymmetry is difficult to understand theoretically [11–16]. The search for such effects is therefore a priority for studies of the weak interaction.

Several theoretical investigations have suggested that large CP asymmetries may be observed in $B^\pm \rightarrow \rho^0(770)K^\pm$ decays [17–24]. Due to the large width of the $\rho^0$ meson, this channel must be studied using a Dalitz-plot analysis of the $K^{\pm}\pi^+\pi^\pm$ final state. Previous measurements found $A_{CP}(B^+ \rightarrow \rho^0(770)K^\pm) = (+32 \pm 13 \pm 6^{+5}_{-3})\%$ [25] and $A_{CP}(B^\pm \rightarrow \rho^0(770)K^\pm) = (+30 \pm 11 \pm 2^{+11}_{-11})\%$ [26] (where the errors are statistical, systematic and model-dependent, respectively), indicating evidence for CP violation in this decay, and leaving a strong need for more precise and conclusive studies.

Several other considerations motivate a precise analysis of $B^\pm \rightarrow K^{\pm}\pi^+\pi^\pm$ decays. The CP asymmetries in the decays $B^+ \rightarrow K^0(892)\pi^+$, $B^+ \rightarrow K^0_s(1430)\pi^+$ and $B^\pm \rightarrow K^2_0(1430)\pi^\pm$ are predicted to be negligible [19, 20] compared to the current precision, since these are mediated by $b \rightarrow s$ loop (penguin) transitions only, with no $b \rightarrow u$ tree component. A significant CP violation effect in any of these channels would therefore provide a signature of new physics. On the other hand, decays such as $B^+ \rightarrow \rho^0 K^\pm$ may have comparable contributions from both tree and penguin amplitudes, and their interference is sensitive to their relative weak (CP violating) phase difference $\gamma$. Results from amplitude analyses of $B^\pm \rightarrow K^{\pm}\pi^+\pi^\pm$ and other $B \rightarrow K\pi$ decays [27–29] can be combined to constrain the hadronic parameters and allow a relatively clean determination of $\gamma$ [30–34].

Understanding the dynamics of the $B^\pm \rightarrow K^{\pm}\pi^+\pi^\pm$ Dalitz plot is also a priority. Previous studies have left unresolved the nature of a structure peaking at invariant mass around 1300 MeV/c$^2$ in the $\pi^+\pi^-$ spectrum (denoted $f_X(1300)$ in this work). Similar enhancements have also been seen at low $K^+K^-$ invariant masses in $B \rightarrow KKK$ [35–37] and $B^\pm \rightarrow K^{\pm}K^+\pi^\pm$ [38] decays. The origin of these structures has aroused considerable interest among theorists [39–43], as it is of great importance in the understanding of low energy spectroscopy [44].

In this paper we present results from an amplitude analysis of $B^\pm \rightarrow K^{\pm}\pi^+\pi^\pm$ decays based on a 347.5 fb$^{-1}$ data sample containing 383.2 ± 4.2 million $B\bar{B}$ pairs ($N_{B\bar{B}}$). Compared to our previous publication [25], we have increased the data sample by 70%, included several improvements in reconstruction algorithms that enhance the signal efficiency, made several modifications to the analysis to increase the sensitivity to direct CP violating effects (for example, by including more discriminating variables in the maximum likelihood fit), and improved our model of the Dalitz plot structure. Moreover, we have developed a novel parameterization of the coefficients used in the fit that ensures good statistical behavior of the fitted parameters.

The data were collected with the BABAR detector [45] at the SLAC PEP-II asymmetric-energy $e^+e^-$ storage rings [46] operating at the $\Upsilon(4S)$ resonance with center-of-mass (CM) energy of $\sqrt{s} = 10.58$ GeV. An additional total integrated luminosity of 36.6 fb$^{-1}$ was recorded 40 MeV below the $\Upsilon(4S)$ resonance (“off-peak” data) and was used to study backgrounds from continuum production.

II. AMPLITUDE ANALYSIS FORMALISM

A number of intermediate states contribute to the decay $B^\pm \rightarrow K^{\pm}\pi^+\pi^\pm$. Their individual contributions are obtained from a maximum likelihood fit of the distribution of events in the Dalitz plot formed from the two $\pi^+$ and $\pi^-$ contributions. The total signal amplitudes for $B^+$ and $B^-$ decays are given, in the isobar formalism (see for example [47–49]), by:

$$A = A(m^2_{K\pi^\pm}, m^2_{\pi\pi}) = \sum_j c_j F_j(m^2_{K\pi^\pm}, m^2_{\pi\pi})$$

$$\bar{A} = \bar{A}(m^2_{K\pi^\pm}, m^2_{\pi\pi}) = \sum_j \bar{c}_j \bar{F}_j(m^2_{K\pi^\pm}, m^2_{\pi\pi}).$$

The complex coefficient for a given decay mode $j$ is $c_j$ and is measured relative to one of the contributing channels ($K^0(892)$ in this analysis). The $c_j$ contain all the weak phase dependence and so $F_j \equiv \bar{F}_j$. The distributions $F_j$ describe the dynamics of the decay amplitudes and are the product of an invariant mass term ($R_j$), two Blatt–Weisskopf barrier form factors ($X_j(z)$) [50] and an angular function ($T_j$):

$$F_j = R_j \times X_j(p^*) \times X_j(q) \times T_j,$$

where $J$ is the spin of the resonance, $q$ is the momentum of either daughter in the rest frame of the resonance and $p^*$ is the momentum of the bachelor particle in the rest frame of the $B$. The $F_j$ are normalized over the entire
Dalitz plot:

\[
\iint |F_j(m_{K\pi}^2, m_{\pi\pi}^2)|^2 \, dm_{K\pi}^2 \, dm_{\pi\pi}^2 = 1.
\]  

(4)

The Blatt–Weisskopf barrier form factors are given by:

\[
X_{J=0}(z) = 1,
\]

(5)

\[
X_{J=1}(z) = \sqrt{1/(1 + (z r_{BW})^2)},
\]

(6)

\[
X_{J=2}(z) = \sqrt{1/((z r_{BW})^4 + 3(z r_{BW})^2 + 9)},
\]

(7)

where \( r_{BW} \), the meson radius parameter, is taken to be \((4.0 \pm 1.0) \text{GeV}/c \)\(^{-1} \) [51].

For most resonances in this analysis the \( R_j \) are taken to be relativistic Breit–Wigner lineshapes:

\[
R_j(m) = \frac{1}{(m_0^2 - m^2 - im_0 \Gamma(m))},
\]

(8)

where \( m_0 \) is the nominal mass of the resonance and \( \Gamma(m) \) is the mass-dependent width. In the general case of a spin-\( J \) resonance, the latter can be expressed as

\[
\Gamma(m) = \Gamma_0 \left( \frac{q}{q_0} \right)^{2J+1} \left( \frac{m_0}{m} \right)^{2J} X^2_j(q) X^2_j(q_0).
\]

(9)

The symbol \( \Gamma_0 \) denotes the nominal width of the resonance. The values of \( m_0 \) and \( \Gamma_0 \) are obtained from standard tables [7]. The symbol \( q_0 \) denotes the value of \( q \) when \( m = m_0 \).

For the \( f_0(980) \) lineshape the Flatté form [52] is used. In this case the mass-dependent width is given by the sum of the widths in the \( \pi\pi \) and \( KK \) systems:

\[
\Gamma(m) = \Gamma_{\pi\pi}(m) + \Gamma_{KK}(m),
\]

(10)

where

\[
\Gamma_{\pi\pi}(m) = g_\pi \left[ \frac{1}{3} \sqrt{1 - 4m_{\pi\pi}^2/m^2} + \frac{2}{3} \sqrt{1 - 4m_{\pi\pi}^2/m^2} \right],
\]

(11)

\[
\Gamma_{KK}(m) = g_K \left[ \frac{1}{2} \sqrt{1 - 4m_{KK}^2/m^2} + \frac{1}{2} \sqrt{1 - 4m_{KK}^2/m^2} \right].
\]

(12)

The fractional coefficients arise from isospin conservation and \( g_\pi \) and \( g_K \) are coupling constants for which we take the values:

\[
g_\pi = (0.165 \pm 0.010 \pm 0.015) \text{GeV}/c^2,
\]

(13)

\[
g_K = (4.21 \pm 0.25 \pm 0.21) \times g_\pi,
\]

from results obtained by the BES experiment [53].

The \( 0^+ \) component of the \( K\pi \) spectrum is not well understood [54, 55]; we dub this component \( (K\pi)^{0}_0 \) and use the LASS parameterization [54] which consists of the \( K_0^{*}(1430) \) resonance together with an effective range nonresonant component:

\[
R_j(m) = \frac{m_{K\pi}}{q \cot \delta - iq} + e^{2i\delta} \frac{m_0 \Gamma_0}{m^2 - m_{K\pi}^2} - im_0 \Gamma_0 \frac{q}{m_{K\pi}} \frac{m_0}{q_0},
\]

(14)

where \( \cot \delta_B = \frac{1}{aq} + \frac{1}{r}\delta \). We have used the following values for the scattering length and effective range parameters of this distribution [25]:

\[
a = (2.07 \pm 0.10) \text{GeV}/c^{-1},
\]

(15)

\[
r = (3.32 \pm 0.34) \text{GeV}/c^{-1},
\]

and the effective range part of the amplitude is cut off at \( m_{K\pi} = 1.8 \text{GeV}/c^2 \). With our final fit model we have determined the preferred values of the \( a \) and \( r \) parameters and obtain results consistent with those given above. Integrating separately the resonant part, the effective range part and the coherent sum we find that the \( K_0^{*}(1430) \) resonance accounts for 81%, the effective range term 45%, and destructive interference between the two terms is responsible for the excess 26%.

The nonresonant component of the Dalitz plot is modeled with a constant complex amplitude. We use alternative models [26] to evaluate the model dependence of our results. In these studies we also use the Gounaris–Sakurai form [56] as an alternative model for the \( \rho(770) \).

For the angular distribution terms \( T_j \) we follow the Zemach tensor formalism [57, 58]. For the decay of a spin 0 \( B \) meson into a spin \( J \) resonance and a spin 0 bachelor particle this gives [59]:

\[
T_j^{J=0} = 1
\]

\[
T_j^{J=1} = -2 \vec{p} \cdot \vec{q}
\]

(16)

\[
T_j^{J=2} = \frac{4}{3} \left[ 3(\vec{p} \cdot \vec{q})^2 - (|\vec{p}| |\vec{q}|)^2 \right],
\]

where \( \vec{p} \) is the momentum of the bachelor particle and \( \vec{q} \) is the momentum of the resonance daughter with charge opposite from that of the bachelor particle, both measured in the rest frame of the resonance.

The complex coefficients \( c_j \) and \( \tau_j \) can be parameterized in various ways that take the possibility of direct \( CP \) violation into account. We have investigated the choices used in previous studies [26, 60] and found that they are susceptible to biases on the fitted parameters, particularly when a resonant contribution is small in magnitude.

To ensure the good statistical behavior of our fit, we parameterize the complex coefficients in the following way:

\[
c_j = (x_j + \Delta x_j) + i(y_j + \Delta y_j)
\]

\[
\tau_j = (x_j - \Delta x_j) + i(y_j - \Delta y_j).
\]

(17)

We have verified that these parameters have approximately Gaussian behavior independent of their true values. In this approach, \( \Delta x_j \) and \( \Delta y_j \) are \( CP \) violating parameters.
To allow comparison among experiments we present also fit fractions \((FF)\), defined as the integral of a single decay amplitude squared divided by the coherent matrix element squared for the complete Dalitz plot:

\[
FF_j = \frac{\int\int (|c_j F_j|^2 + |\mathcal{A}_j|^2) \, dm_{K\pi}^2 \, dm_\pi^2}{\int\int (|A|^2 + |\mathcal{A}|^2) \, dm_{K\pi}^2 \, dm_\pi^2}.
\]  

(18)

The sum of all the fit fractions is not necessarily unity due to the potential presence of net constructive or destructive interference. The \(CP\) asymmetry for a given intermediate state is easily determined from the fitted parameters

\[
A_{CP,j} = \frac{|\mathcal{C}_j|^2 - |c_j|^2}{|\mathcal{C}_j|^2 + |c_j|^2} = \frac{-2(x_j \Delta x_j + y_j \Delta y_j)}{x_j^2 + \Delta x_j^2 + y_j^2 + \Delta y_j^2}.
\]  

(19)

The signal Dalitz-plot probability density function (PDF) is formed from the total amplitude as follows:

\[
\mathcal{L}_{sig}(m_{K\pi}^2, m_\pi^2, q_B) = \frac{1 + q_B |A|^2 \varepsilon + 1 - q_B |\mathcal{A}|^2 \bar{\varepsilon}}{\int\int (|A|^2 \varepsilon + |\mathcal{A}|^2 \bar{\varepsilon}) \, dm_{K\pi}^2 \, dm_\pi^2},
\]  

(20)

where \(q_B\) is the charge of the \(B\) meson candidate and \(\varepsilon \equiv \varepsilon(m_{K\pi}^2, m_\pi^2)\) and \(\bar{\varepsilon} \equiv \bar{\varepsilon}(m_{K\pi}^2, m_\pi^2)\) are the signal reconstruction efficiencies for \(B^+\) and \(B^-\) events, respectively, defined for all points in the Dalitz plot.

### III. CANDIDATE SELECTION

The \(B\) candidates are reconstructed from events that have four or more charged tracks. Each track is required to be well measured and to originate from the beam spot. The \(B\) candidates are formed from three-charged-track combinations and particle identification criteria are applied to reject electrons and to separate kaons and pions. In our final state, the average selection efficiency for kaons that have passed the tracking requirements is about 80\% including geometrical acceptance, while the average misidentification probability of pions as kaons is about 2\%.

Two kinematic variables are used to identify signal \(B\) decays. The first variable is

\[
\Delta E = E_B^* - \sqrt{s}/2,
\]  

(21)

the difference between the reconstructed CM energy of the \(B\)-meson candidate and \(\sqrt{s}/2\), where \(\sqrt{s}\) is the total CM energy. The second is the energy-substituted mass

\[
m_{ES} = \sqrt{(s/2 + \vec{p}_B \cdot \vec{p}_B)^2 / E_i^2 - |\vec{p}_B|^2},
\]  

(22)

where \(\vec{p}_B\) is the \(B\) momentum and \((E_i, \vec{p}_i)\) is the four-momentum of the initial state, all measured in the laboratory frame. The \(m_{ES}\) distribution for signal events peaks near the \(B\) mass with a resolution of around 2.4 MeV/c\(^2\), while the \(\Delta E\) distribution peaks near zero with a resolution of around 19 MeV. The resolution of \(\Delta E\) is strongly dependent on the position in the Dalitz plot and so instead of \(\Delta E\) we use

\[
\Delta E' = \frac{\Delta E}{\sigma_{\Delta E}},
\]  

(23)

where \(\sigma_{\Delta E}\) is the error on \(\Delta E\), determined separately for each event. This variable exhibits no such dependence. We initially require events to lie in the region formed by the following selection criteria: 5.200 < \(m_{ES}\) < 5.286 GeV/c\(^2\) and \(-4.0 < \Delta E' < 15.0\). The region of \(\Delta E'\) below \(-4\) is heavily contaminated by four-body \(B\) backgrounds and so is not useful for studying the continuum background. The selected region is then subdivided into three areas: the “left sideband” region \((5.20 < m_{ES} < 5.26\text{ GeV}/c^2 \text{ and } -4.0 < \Delta E' < 4.0)\) used to study the background \(\Delta E'\) and Dalitz-plot distributions; the “upper sideband” region \((5.200 < m_{ES} < 5.286\text{ GeV}/c^2 \text{ and } 7.0 < \Delta E' < 15.0)\) used to study the background \(m_{ES}\) distributions; and the “signal region” \((5.272 < m_{ES} < 5.286\text{ GeV}/c^2 \text{ and } -4.0 < \Delta E' < 4.0)\) where the final fit to data is performed. These three regions are illustrated in Fig. 1. Following the calculation of these kinematic variables, each of the \(B\) candidates is refitted with its mass constrained to the world average value of the \(B\)-meson mass [7] in order to improve the Dalitz-plot position resolution.

![FIG. 1: Regions of the \(\Delta E'–m_{ES}\) plane. The red/horizontal hatching is the “upper sideband”, the green/crossed hatching is the “left sideband”, and the blue/vertical hatching is the “signal region”.](image-url)
following five variables: the ratio of the Legendre polynomial moments $L_0$ and $L_2$ [61], the absolute value of the cosine of the angle between the direction of the $B$ and the detector axis, the absolute value of the cosine of the angle between the $B$ thrust axis and the detector axis, the output of a multivariate $B$-flavor tagging algorithm [62] multiplied by the charge of the $B$ candidate and the significance of the measured proper time difference of the $B$ decay vertices. The NN is trained using samples of off-resonance data and signal Monte Carlo (MC) simulated events. The selection requirement placed on the NN output, optimized with MC events, accepts 61% of signal events while rejecting 97% of background events.

The reconstruction efficiency distribution over the Dalitz plot is modeled using two-dimensional histograms formed from a sample of around 24 million $B^\pm \to K^{\pm}\pi^\mp\pi^\pm$ MC events. All selection criteria are applied except for those corresponding to the invariant mass veto regions described below. The ratio is taken of two histograms, the denominator containing the true Dalitz-plot distribution of all generated MC events and the numerator containing the reconstructed MC events. The reconstructed events are weighted in order to correct for differences between MC and data in the particle identification and tracking efficiencies. In order to give better resolution near the edges of the Dalitz plot, where most reconstructed events lie, the histograms are formed in the “square Dalitz plot” [63] coordinates. Linear interpolation is also applied between bins. The efficiency shows very little variation across the majority of the Dalitz plot but decreases towards the corners where one of the particles has low momentum. The effect of experimental resolution on the signal model is neglected since the resonances under consideration are sufficiently broad. The average reconstruction efficiency for events in the signal box for the nonresonant MC sample is 21.2%. The fraction of misreconstructed signal events is very small, ∼ 2%, and so such events are not treated explicitly in the signal model.

**IV. BACKGROUNDS**

In addition to the continuum ($q\bar{q}$) background there are also backgrounds from $B\bar{B}$ events. There are four main sources: (i) combinatorial background from three unrelated tracks; (ii) three- and four-body $B$ decays involving an intermediate $D$ meson; (iii) charmless two- and four-body decays with an extra or missing particle and (iv) three-body decays with one or more particles misidentified. We veto candidates from charm and charmonium decays with large branching fractions by rejecting events that have invariant masses (in units of GeV/$c^2$) in the ranges: $1.756 < m_{K^+\pi^-} < 1.931$, $1.660 < m_{\pi^+\pi^-} < 1.800$, $3.019 < m_{\pi^+\pi^-} < 3.179$ and $3.627 < m_{\pi^+\pi^-} < 3.747$. These ranges reject decays from $D^0 \to K^+\pi^-$ (or $\pi^+\pi^-$), $J/\psi \to \ell^+\ell^-$ and $\psi(2S) \to \ell^+\ell^-$ respectively, where $\ell$ is a lepton that has been misidentified.

We study the remaining charm backgrounds that escape the vetoes and the backgrounds from charmless $B$ decays with a large sample of MC-simulated $B\bar{B}$ decays equivalent to approximately three times the integrated luminosity of the data sample. Higher-statistics, exclusive MC samples are used to further study 68 $B$-meson decay modes and to determine the $m_{ES}$, $\Delta E'$ and Dalitz-plot distributions that are used in the likelihood fit. These distributions are normalized to the number of predicted events in the final data sample, which we estimate using the reconstruction efficiencies determined from the MC, the number of $B\bar{B}$ pairs in our data sample, and the branching fractions listed by the Particle Data Group [7] and the Heavy Flavor Averaging Group [8]. We further combine modes that have a similar behavior in each of the discriminating variables $m_{ES}$ and $\Delta E'$ into a $B$-background category. For each category combined Dalitz-plot, $m_{ES}$ and $\Delta E'$ PDFs are created, and each is included as a separate component in the fit. The predicted yields of $B\bar{B}$ background events in the signal region are $619 \pm 17$ (659 ± 18) for the negatively (positively) charged sample.

The background Dalitz-plot distributions are included in the likelihood fit through the use of two-dimensional histograms. For backgrounds from $B$ decays these histograms are formed from the various MC samples. For the continuum background the “left sideband” data sample is used. This data sideband also contains events from $B$ decays and so MC samples are again used to subtract these events. Like the reconstruction efficiency histograms, those for the backgrounds are formed in the square Dalitz-plot coordinates and have linear interpolation applied between bins. Separate histograms are constructed for $B^+$ and $B^-$ events. The $q\bar{q}$ and $B$-background PDFs are identical in their construction and the $q\bar{q}$ PDF is shown here as an example:

$$L_{q\bar{q}}(m^2_{K\pi}, m^2_{\pi\pi}) = \frac{1}{2} (1 - q_B A_{q\bar{q}}) \times$$

$$\left( \frac{1+q_B}{2} Q(m^2_{K\pi}, m^2_{\pi\pi}) \right) = \left( \frac{1+q_B}{2} \frac{1-q_B}{2} \frac{1}{\int \int Q(m^2_{K\pi}, m^2_{\pi\pi}) dm^2_{K\pi} dm^2_{\pi\pi}} \frac{1}{\int \int \bar{Q}(m^2_{K\pi}, m^2_{\pi\pi}) dm^2_{K\pi} dm^2_{\pi\pi}} \right) \times \left( \frac{1+q_B}{2} \frac{1-q_B}{2} \frac{1}{\int \int Q(m^2_{K\pi}, m^2_{\pi\pi}) dm^2_{K\pi} dm^2_{\pi\pi}} \right) \times$$

where $A_{q\bar{q}}$ parameterizes possible charge asymmetry in the background, and $Q(m^2_{K\pi}, m^2_{\pi\pi})$ and $\bar{Q}(m^2_{K\pi}, m^2_{\pi\pi})$ are the Dalitz-plot distributions of the $q\bar{q}$ events for $B^+$ and $B^-$ events respectively.
V. MAXIMUM LIKELIHOOD FIT

To provide further discrimination between the signal and background hypotheses in the likelihood fit we include PDFs for the kinematic variables $m_{ES}$ and $\Delta E'$, which multiply that of the Dalitz plot. The signal is modeled with a double Gaussian function in both cases. The parameters of these functions are obtained from a sample of $K^\pm \pi^\pm \pi^\pm$ MC events and are fixed in the fit to data. The $q\bar{q}$ $m_{ES}$ distribution is modeled with the experimentally motivated ARGUS function [64]. The endpoint for this ARGUS function is fixed to $\sqrt{s}/2$ and the parameter describing the shape is fixed to the value determined from the “upper sideband”. For $\Delta E'$ the continuum is modeled with a linear function, the slope of which is allowed to float in the fit to data. The $B\bar{B}$ background distributions are modeled using histograms obtained from the mixture of $B\bar{B}$ MC samples and are fixed in the fit. The yields of signal and $q\bar{q}$ events are allowed to float in the final fit to the data while the yield of $B\bar{B}$ background events is fixed.

The complete likelihood function is given by:

$$\mathcal{L} = \exp \left( -\sum_k N_k \right) \times \prod_i \left[ \sum_k N_k \mathcal{P}_k^i \left( m_{ES}^2, m_{\pi\pi}, m_{ES}, \Delta E', q_B \right) \right],$$

where $N_k$ is the event yield for species $k$, $N_e$ is the total number of events in the data sample and $\mathcal{P}_k^i$ is the PDF for species $k$ for event $i$, which consists of a product of the Dalitz-plot, $m_{ES}$ and $\Delta E'$ PDFs. The function $-\ln \mathcal{L}$ is used in the unbinned fit to the data.

We determine a nominal signal Dalitz-plot model using information from previous studies [25, 26, 35, 65, 66] and the change in the fit likelihood value observed when omitting or adding resonances. In our previous study of $B^\pm \rightarrow K^\pm \pi^\pm \pi^\pm$ [25] we used a nominal model containing a phase-space nonresonant component and five intermediate resonant states: $K^{*0}(892)\pi^+$, $(K\pi)_0^{*0}\pi^+$, $\rho^0(770)K^+$, $f_0(980)K^+$, and $\chi_{c0}K^+$. With the higher statistics and improved techniques of this analysis, we find it necessary to include additional contributions from $K^{*0}_2(1430)\pi^+$, $f_2(1270)K^+$, and $f_0(1300)K^+$ in order to achieve a reasonable agreement of the fit with the data.

The first of these additions improves the agreement in the $K^\pm \pi^\mp$ invariant mass projection; although some discrepancy remains, this cannot be reduced by including other known resonances nor by using alternative forms for the $(K\pi)_0^{*0}$ shape. In the $\pi^\pm \pi^\mp$ invariant mass projection, we find the best agreement to data is achieved by including both the $f_2(1270)K^+$ and $f_0(1300)K^+$ terms with $X = 0$ (i.e., $f_0(1300)$ being a scalar). A reasonable fit can be achieved including instead a single broad vector resonance. However, in the case $X = 1$ it is natural to identify the $f_2(1300)$ as the $\rho^0(1450)$, and the observed large ratio of product branching fractions between $B(B^+ \rightarrow \rho^0(1450)K^+ \times B(\rho^0(1450) \rightarrow \pi^+ \pi^-)$ and $B(B^+ \rightarrow \rho^0(770)K^+ \times B(\rho^0(770) \rightarrow \pi^+ \pi^-)$ leads us to conclude that this cannot be the correct physical interpretation of the data. Note that the $f_2(1270)K^+$ contribution was observed by Belle [26].

In addition, we include a small contribution from $\omega(782)K^+$, which is known to be present based on the well-measured branching fractions of $B^+ \rightarrow \omega(782)K^+$ [67, 68] and $\omega(782) \rightarrow \pi^+ \pi^- \pi^0$ [69]. Although the magnitude of this contribution is known to be very small, due to the narrow width of the $\omega(782)$, it can have a noticeable effect on the $\rho^0(770)$ line-shape. Thus, our nominal signal Dalitz-plot model comprises a phase-space nonresonant component and nine intermediate resonance states: $K^{*0}(892)\pi^+$, $(K\pi)_0^{*0}\pi^+$, $K^{*2}_2(1430)\pi^+$, $\rho^0(770)K^+$, $\omega(782)K^+$, $f_0(980)K^+$, $f_2(1270)K^+$, $f_0(1300)K^+$ and $\chi_{c0}K^+$. This model differs from that used by Belle [26] by the inclusion of $K^{*2}_2(1430)\pi^+$ and the different parameterization of the $(K\pi)_0^{*0}\pi^+$ and nonresonant terms.

Our choice of parameterization of the $(K\pi)_0^{*0}\pi^+$ and nonresonant terms is motivated by a number of physical considerations. Different parameterizations result in changes in likelihood that are highly dependent on the composition of the rest of the decay model. Compared to our final model, including all resonant terms, the parameterization used by Belle gives a larger fit likelihood. However, this model exhibits large interference between the $K^{*0}(1430)$ and nonresonant terms that can be either constructive or destructive, leading to alternative solutions with similar likelihoods but very different values of the fit fractions for these terms. In the case that these terms interfere destructively, the sum of fit fractions far exceeds 100%, which we consider unlikely to be the correct physical description. Moreover, the preferred solution can change depending on the exact composition of the rest of the model – in particular, we find that this problem, which was previously reported by Belle [35], is exacerbated by the presence of the $K^{*2}_2(1430)$. We have also tried a recent proposal for the nonresonant distribution [70] that results in a worse likelihood. Therefore, we use in our nominal model the LASS parameterization for the $(K\pi)_0^{*0}\pi^+$ and a nonresonant term as described above.

The mass and width of the $f_0(1300)$ are determined to be $m_{f_0} = (1479 \pm 8)\text{MeV}/c^2$, $\Gamma_{f_0} = (80 \pm 19)\text{MeV}$, with a correlation of $(-45 \pm 3)\%$, where the errors are statistical only and are determined from a fit to the 2D likelihood profile. These parameters are consistent with the values obtained by Belle [26]: $m_{f_0} = (1449 \pm 13)\text{MeV}/c^2$, $\Gamma_f = (126 \pm 25)\text{MeV}$ (statistical errors only), and with those listed for the $f_0(1500)$ [7].

We fit 12753 signal candidates selected from the data using our nominal Dalitz-plot model to obtain the central values of the $x_j$, $\Delta x_j$, $y_j$, $\Delta y_j$ parameters for each component. The $x$ and $y$ parameters of the $K^{*0}(892)$ are fixed (to one and zero respectively) as the reference. The $\Delta x$ and $\Delta y$ parameters of the $\omega(782)$ and nonresonant
components are fixed to zero in order to improve the fit stability. They are allowed to vary as a cross-check and are found to be consistent with zero. The signal yield, $q\bar{q}$ background yield and asymmetry and the slope of the $q\bar{q}$ PDF are also floated parameters in the fit. We then generate a large number of MC experiments using the fitted values, and from the spread of results of fits to those experiments determine the statistical uncertainties on those parameters, as well as the central values and statistical uncertainties on the extracted parameters $FF_j$ and $A_{CP,j}$. This procedure takes into account correlations between the $x_j$, $\Delta x_j$, $y_j$, $\Delta y_j$ parameters. In order to make comparisons with previous measurements and predictions from factorization models we multiply each fit fraction by the total branching fraction to calculate the product branching fraction of each mode. The results are shown in Table I and Table II. In order to determine the statistical significance of the direct $CP$ violation exhibited by a component, we evaluate the difference $\Delta \ln \mathcal{L}$ between the negative log-likelihood of the nominal fit and that of a fit where the $\Delta x$ and $\Delta y$ parameters for the given component are fixed to zero. This is then used to evaluate a $p$-value:

$$ p = \int_{2\Delta \ln \mathcal{L}}^\infty f(z; n_d) \, dz, $$

where $f(z; n_d)$ is the $\chi^2$ PDF and $n_d$ is the number of degrees of freedom, two in this case. We then determine the equivalent one-dimensional significance from this $p$-value. Note that this differs from the significance of $A_{CP,j} \neq 0$, since direct $CP$ violation can be observed in a Dalitz-plot analysis not only through $B$ and $\bar{B}$ amplitudes being different in magnitude, but also by differences in their phases. The significance estimations were cross-checked using MC simulations based on the fit results.

The $K^+\pi^-\pi^\pm$ signal yield is found to be $4585 \pm 90 \pm 297 \pm 63$ and the total charge asymmetry to be $(2.8 \pm 2.0 \pm 0.2 \pm 1.2)\%$, where the uncertainties are statistical, systematic and model-dependent respectively. The Dalitz plot of the data in the signal region, after subtraction of the two background distributions (using the $\chi^2$ plot technique [71]), can be seen in Fig. 2. Projections of the data, with the fit result overlaid, onto $K^+\pi^-$ and $\pi^+\pi^-$ invariant mass distributions can be seen in Fig. 3. For the $m_{K\pi\pi}$ plots the requirement is made that $m_{\pi\pi}$ is greater than 2 GeV/$c^2$ and vice versa in order to better illustrate the structures present. The agreement between the fit result and the data is generally very good, although the discrepancy discussed above is visible in the $m_{K\pi\pi}$ spectrum. Using the fitted signal distribution we calculate the average reconstruction efficiency for our signal sample to be 22.5%.

VI. SYSTEMATIC UNCERTAINTIES

The systematic uncertainties that affect the measurement of the fit fractions, phases and event yields are as follows. The fixed $B\bar{B}$-background yields and asymmetries of the largest categories are allowed to float and the variation of the other fitted parameters is taken as the uncertainty. The effect of the statistics of the MC and data sideband samples used to obtain the fixed shapes of the efficiency, $q\bar{q}$ and $B\bar{B}$-background histograms and the $B\bar{B}$-background histograms for $m_{ES}$ and $\Delta E'$ is accounted for by fluctuating independently the histogram bin contents in accordance with their errors and repeating the nominal fit. The uncertainties on how well the samples model these distributions are also taken into account through various cross-checks, including variation of the charm veto range and comparison of continuum shapes between sideband and signal region in MC samples.

The fixed parameters of the signal $m_{ES}$ and $\Delta E'$ PDFs are studied in the control sample $B^+ \rightarrow D^0\pi^+$; $D^0 \rightarrow K^+\pi^-$. The parameters are determined from MC and data samples, from which biases and scale factors are calculated and used to adjust the parameters for the nominal fit. The parameters are then varied in accordance with the error on these biases and scale factors and the fit repeated. The uncertainties due to fixing the ARGUS parameter of the $q\bar{q}$-background $m_{ES}$ PDF are determined by comparing the results of the fit when the parameter value is obtained from off-peak data.

To confirm the fitting procedure, 500 MC experiments were performed in which the events are generated from the PDFs used in the fit to data. Small fit biases are observed for some of the fit parameters and are included in the systematic uncertainties. The contributions due to particle identification, tracking efficiency corrections and the calculation of $N_{B\bar{B}}$ are 4.2%, 2.4% and 1.1% respectively. The efficiency correction due to the selection requirement on the NN has also been calculated from
FIG. 3: Invariant mass projections for the data in the signal region and the fit results. The left-hand plot shows the $m_{K^{±}π^{±}}$ spectrum up to 1.7 GeV/c$^2$. The right-hand plot shows the $m_{π^{±}π^{±}}$ spectrum up to 1.6 GeV/c$^2$. The bottom plot shows the $m_{π^{±}π^{±}}$ spectrum in the region of the $\chi_{c0}$. The data are the black points with statistical error bars, the lower solid (red/dark) histogram is the $q\bar{q}$ component, the middle solid (green/light) histogram is the $B\bar{B}$ background contribution, while the upper blue curve shows the total fit result. For the $m_{K^{±}π^{±}}$ plots the requirement is made that $m_{π^{±}π^{±}}$ is greater than 2 GeV/c$^2$ and vice versa.

$B^+ \rightarrow D^0\pi^+$, $D^0 \rightarrow K^+\pi^-$ data and MC samples, and is found to be 0.979 ± 0.015. The error on this correction is incorporated into the branching fraction systematic uncertainties. Measured $CP$ asymmetries could be affected by detector charge bias. In previous studies [72, 73] this effect has been estimated to be very small compared with the precision of our measurements; we take it to be 0.5%.

In addition to the above systematic uncertainties we also estimate effects due to model-dependence, i.e. that characterize the uncertainty on the results due to elements of the signal Dalitz-plot model. The first of these elements consists of the parameters of the various components of the signal model – the masses and widths of all resonances, the effective range and scattering length of the LASS model of the $(K\pi)_0^{*0}$, the coupling constants of the $f_0(980)$ Flatté parameterization and the value of the Blatt–Weisskopf barrier radius. The associated uncertainties are evaluated by adjusting the parameters within their experimental errors and refitting. The second element is due to the different possible models both for the nonresonant component, which is evaluated by refitting with the parameterization used by Belle [26], and for the $\rho^0(770)$, which is determined by refitting with the Gounaris–Sakurai form. The third element is the uncertainty due to the composition of the signal model. It reflects observed changes in the parameters of the components when the data are fitted with one of the smaller components removed from the model and when the state $K^{*0}(1680)$ is added to the model. The uncertainties from each of these elements are added in quadrature to obtain the final model-dependence.

VII. SUMMARY AND DISCUSSION

Our results are shown in Table I and Table II. The total branching fraction $\mathcal{B}(B^+ \rightarrow K^{+}\pi^-\pi^+) = (54.4 ± 1.1 ± 4.5 ± 0.7) \times 10^{-6}$ is compatible with Belle’s mea-
The total charge asymmetry for was cross checked by using the same procedure to measure of (48.8 ± 1.1 ± 3.6) × 10^{-6} [26]. This result was cross checked by using the same procedure to measure the B^+ → D^{0}π^+; D^{0} → K^+π^- branching fraction, which was found to be consistent with the PDG value [7]. The total charge asymmetry for B^+ → K^+π^- has been measured to be consistent with zero to a higher degree of precision than previous measurements.

We see evidence of large direct CP violation in B^+ → ρ^0(770)K^+, consistent with the findings of our previous analysis [25] and of Belle [26]. The statistical significance of the direct CP violation effect is found to be 3.7σ from the change in likelihood when the Δx and Δy terms associated with ρ^0(770)K^+ are fixed to zero. We have verified this estimate of the significance using MC simulations. As experimental systematic uncertainties are much smaller than the statistical errors, they do not affect this conclusion. We have cross-checked the effect of the choice of Dalitz model on the significance. We find that the significance remains above 3σ with alternative models, including that used by Belle [26].

Plots of the π^+-π^- invariant mass projections in the region of the ρ^0(770) and f_0(980) are shown separately for B^+ and B^- candidates in Fig. 4. In an attempt to highlight the direct CP violation effect, we also show plots with the data further subdivided into positive and negative values of cos θ_H = q^+ · q^-/(|q^+||q^-|), using the notation of Eq. (16). The asymmetry in the excess of events above background in the ρ^0(770) region is particularly apparent in the distributions with the requirement cos θ_H > 0.

The statistical significance of direct CP violation in B^+ → f_2(1270)K^+ is also above 3σ, but this result suffers from large model uncertainties. The K^+(892)π^+, (Kπ)^2_0π^- and K^2_2(1430)π^- charge asymmetries are all consistent with zero, as expected.
Similarly we find the B(892) resonance. The left (right) plots are for B⁻ (B⁺) candidates. The top row shows all candidates, the middle row shows those where cosθ_H > 0 and the bottom row shows those where cosθ_H < 0. The data are the black points with statistical error bars, the lower solid (red/dark) histogram is the qq̅ component, the middle solid (green/light) histogram is the B̄B̄ background contribution, while the blue open histogram shows the total fit result.

After correcting for the secondary branching fraction B(K⁺0(892) → K⁻π⁺) = \( \frac{2}{3} \), we find B(B⁺ → K⁺0(892)π⁺) to be \( (10.8±0.6±1.1_{-0.8}^{+0.4}) \times 10^{-6} \). Similarly we find B(B⁺ → f_2(1270)K⁺) to be \( (0.88±0.26±0.13_{-0.16}^{+0.02}) \times 10^{-6} \) and B(B⁺ → K⁺0(1430)π⁺) to be \( (5.6±1.2±0.8_{-0.6}^{+1.6}±0.1) \times 10^{-6} \), where the fourth errors are due to the uncertainties on the secondary branching fractions. These and the other branching fraction measurements are, in general, consistent with previous measurements. The product branching fraction of \( B⁺ → ω(782)K⁺; ω(782) → π⁺π⁻ \) agrees with the expectation from previous measurements, albeit with large uncertainties. The only disparities between our results and those reported by Belle [26] arise from the different treatments of the K⁺π⁻ S-wave. The forward-backward asymmetry apparent in both the K⁺0(892) and f₀(980) bands in Fig. 2 is well reproduced by the fit and is due to S-P-wave interference in the Dalitz plot.

The (Kπ)₀ component is modeled in our analysis by the LASS parameterization [54], which consists of a nonresonant effective range term plus a relativistic Breit–Wigner term for the K⁺0(1430) resonance itself. This parameterization makes use of the available experimental information, however the size of the phase space here means that the range of K⁺π⁻ invariant masses under consideration is much greater than in previous studies. The agreement between the model and the data in the region of the K⁺0(1430) is not as good as in the remainder of the Dalitz plot but all alternative models tried yielded poorer results. If we assume that the model used is correct then we can calculate the branching fraction for \( B⁺ → K⁺0(1430)π⁺ \) and find it to be: \( (32.0±1.2±2.7_{-1.4}^{+5.1}) \times 10^{-6} \), where the fourth error is due to the uncertainty on the branching fraction of K⁺0(1430) → Kπ combined with the uncertainty on the proportion of the (Kπ)₀ component due to the K⁺0(1430) resonance. In addition we can calculate the total nonresonant contribution by combining coherently the nonresonant part of the LASS parameterization and the phase-space nonresonant. We find this total nonresonant branching fraction to be: \( (9.3±1.0±1.2_{-0.4}^{+1.2}) \times 10^{-6} \), where the fourth error is due to the uncertainty on the proportion of the (Kπ)₀ component that is nonresonant. The Belle collaboration finds somewhat larger K⁺0(1430) and nonresonant branching fractions though they treat the K⁺0(1430) as a Breit–Wigner component, separate from the rest of the S-wave modeled as a nonresonant amplitude that has variation in magnitude but no variation in phase over the Dalitz plot [26].

In conclusion, we have performed a Dalitz-plot analysis of B⁺ → K⁺π⁻π± decays based on a 347.5 fb⁻¹ data sample containing 383.2 ± 4.2 million B̄B̄ pairs collected by the BaBár detector. To obtain a good fit to the data we find that contributions from f_2(1270)K⁺ and f_X(1300)K⁺ are necessary, with f_X(1300) being a scalar with parameters m_f_X = (1479 ± 8) MeV/c², \( Γ_{f_X} = (80 ± 19) \) MeV, with a correlation of (−45 ± 3)%, where the errors are statistical only. These are consistent with the mass and width of the f_9(1500). In the K⁺π⁻ invariant mass projection some discrepancy remains in the 1200–1400 MeV/c² range – our model includes (Kπ)₀ π± (using the LASS parameterization) and K⁺0(1430)π⁺ terms in this region, although some alternative models also give a good description of the data. We measure CP-averaged branching fractions and direct CP asymmetries for intermediate resonant and nonresonant contributions. Our results are consistent with the Standard Model, and we find evidence for direct CP violation in the decay B⁺ → ρ⁺(770)K⁺, with a CP violation parameter A_CP = (+44 ± 10 ± 4_{−13}^{+5})% . These results supersede those in our previous publication [25].

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